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**SCULLY, SCOTT, MURPHY  
& PRESSER****Fax****RECEIVED  
CENTRAL FAX CENTER****AUG 31 2006**

**To:** Examiner: Harish T. Dass  
Group Art Unit: 3628  
Confirmation No.: 3901

**From:** John S. Sensny, Esq.

**Fax:** 571-273-8300

**Pages:** 21 (Including fax cover sheet)

**Phone:**

**Date:** August 31, 2006

**Re:** Applicants: Charles Tresser, et al.  
Serial No.: 09/734,086  
Filed: December 11, 2000  
For: "SECURE ELECTRONIC STOCKS  
AND OTHER TITLES AND INSTRUMENTS"  
Docket: YOR920000598US1 (13893)

**CC**

**AMENDMENT UNDER 37 C.F.R. §1.116 with  
REQUEST FOR CONTINUED EXAMINATION (RCE)****TRANSMITTED HEREWITH:**

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.116;
3. Request for Continued Examination (RCE) (in duplicate);
4. Authorization to charge IBM Deposit Account No. 50-0510/IBM in the amount of \$790.00 for the RCE fee; and
5. Certificate of Transmission of Facsimile, dated August 31, 2006 (in duplicate).

**FOR:**

Applicants: Charles Tresser, et al.  
Serial No.: 09/734,086  
Filed: December 11, 2000  
For: "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS"  
Docket: YOR920000598US1 (13893)

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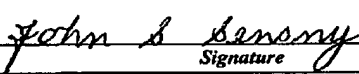
<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): <b>Charles Tresser, et al.</b>			Docket No. <b>YOR920000598US1 (13893)</b>	
Application No. <b>09/734,086</b>	Filing Date <b>December 11, 2000</b>	Examiner <b>Harish T. Dass</b>	Group Art Unit <b>3628</b>	
Invention: <b>SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS</b>			<b>RECEIVED CENTRAL FAX CENTER AUG 31 2006</b>	
CONFIRMATION NO.: <b>3901</b>				
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.116 WITH RCE</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(571) 273-8300</u>) on <u>August 31, 2006</u> (Date)</p> <p style="text-align: right;"><u>John S. Sensny</u> (Typed or Printed Name of Person Signing Certificate)</p> <p style="text-align: right;"><u>John S. Sensny</u> (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

P18/REV02

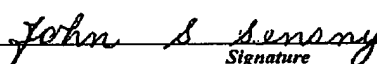
AUG 31 2006

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P18/REV02

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>					Docket No. <b>YOR920000598US1 (13893)</b>	
Applicant(s): <b>Charles Tresser, et al.</b>						
Application No. <b>09/734,086</b>	Filing Date <b>December 11, 2000</b>	Examiner <b>Harish T. Dass</b>	Customer No. <b>23389</b>	Group Art Unit <b>3628</b>	Confirmation No. <b>3901</b>	
Invention: <b>SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS</b>						
<u>COMMISSIONER FOR PATENTS:</u>					<b>RECEIVED CENTRAL FAX CENTER AUG 31 2006</b>	
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.						
<b>CLAIMS AS AMENDED</b>						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	20 -	20 =	0	x \$50.00	\$0.00	
INDEP. CLAIMS	3 -	3 =	0	x \$200.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>50-0510/IBM</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>						
 <b>John S. Sensny</b> Registration No. 28,757  <b>Scully, Scott, Murphy &amp; Presser, P.C.</b> 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343			Dated: <b>August 31, 2006</b>  <div style="border: 1px solid black; padding: 5px;">         I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____          _____          (Date)           _____          Signature of Person Mailing Correspondence           _____          Typed or Printed Name of Person Mailing Correspondence       </div>			
cc: JSS:jy						

P11LARGE/REV10

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>					<b>Docket No.</b> <b>YOR920000598US1 (13893)</b>	
Applicant(s): Charles Tresser, et al.						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
09/734,086	December 11, 2000	Harish T. Dass	23389	3628	3901	
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS						
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Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	
<input checked="" type="checkbox"/> No additional fee is required for amendment.						
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____						
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